Clinical and biological aspects of rotator cuff tears

Alessio Giai Via
Mauro De Cupis
Marco Spoliti
Francesco Oliva

1 Department of Orthopaedic and Traumatology, University of Rome “Tor Vergata”, School of Medicine, Rome, Italy
2 Department of Orthopaedics and Traumatology, San Camillo-Forlanini Hospital, Rome, Italy

Corresponding author:
Francesco Oliva
Department of Trauma and Orthopaedic Surgery
University of Rome “Tor Vergata”
School of Medicine
Viale Oxford, 81
00133 Rome, Italy
e-mail: olivafrancesco@hotmail.com

Summary

Rotator cuff tears are common and are a frequent source of shoulder pain and disability. A wide variation in the prevalence of rotator cuff tears has been reported. The etiology of rotator cuff tear remains multifactorial and attempts to unify intrinsic and extrinsic theories tried to explain the etiopathogenesis of rotator cuff tears. Knowledge of the etiopathogenesis of rotator cuff tears is important to improve our therapies, surgical techniques and promote tendon repair. Several strategies have been proposed to enhance tendon healing and recently research has focused on regenerative therapies, such as Growth Factors (GFs) and Plasma Rich Platelet (PRP), with high expectations of success.

KEY WORDS: rotator cuff tears, shoulder, growth factors, platelet rich plasma.

Introduction

Smith JG first reported rotator cuff tears (RCTs) in the London Medical Gazette in 1834. Many studies have been conducted in symptomatic and asymptomatic patients, also imaging and cadaveric studies have been performed. Cadaver studies in the elderly estimate the prevalence of full thickness tears ranging from 5 to 30%. Two prospective studies, one performed with Magnetic Resonance Imaging (MRI) and the other with ultrasonography, investigated the prevalence of RCTs in individuals without pain and with apparently normal function. In the first study, Sher et al. reported that 28% of patients older than 60 years had a full-thickness tear. In the second study Milgrom reported an ultrasonographic prevalence of 65% of RCTs in patients older than 70. In 2006 Reilly published a review on cadaveric and imaging prevalence of RCTs. The overall prevalence was 23% in 4629 cadaveric shoulders. The prevalence of RCTs increases linearly with age from the third decade, rising from 33% in the 40s to 55% in the 50s according to Milgrom.

Physical examination

The clinical diagnosis is not always easy. Painful conditions of the long head biceps or acromioclavicular joint may result in high false positive rate. The clinical presentation of rotator cuff (RC) pathology is extremely variable. A recent review concluded that RCTs are frequently asymptomatic. Such variation in clinical features is a question that remains to be answered. Physical examination should include inspection, palpation, the evaluation of active and passive range of motion, the execution of strength and provocative tests. While strength may be normal in some patients with small full-thickness RCTs, weakness is usually present with larger tears. Many specific clinical tests are recorded to test muscles forming the RC. The most commonly used are the Jobe’s test for supraspinatus tendon, the external rotation lag sign (ERLS) and the drop arm test for the assessment of the infraspinatus. The Gerber’s lift-off test, internal rotation lag sign (IRLS) and belly-press test are useful to evaluate subscapularis tears. The ERLS, described by Hertel et al. in 1996, has been recently re-evaluated. When the test is performed correctly at 20° of abduction, the ERLS had a sensitivity of 56% and a specificity of 98% for isolated full-thickness supraspinatus tears, and when the lesion involved the infraspinatus and the tears minor the sensitivity improved substantially. The ERLS is highly specific and acceptably sensitive for diagnosis of full-thickness tears, even in isolated lesion of the supraspinatus tendon. O’Brien test, Speed test and Yergason test are useful to assess the long head biceps. Murrel and Walton compared the results of 23 commonly used shoulder tests. Patients affected by shoulder pain, and who tested positive for supra-
Clinical and biological aspects of rotator cuff tears

Table 1. Extrinsic and intrinsic theories about etiopathogenesis of rotator cuff tears (RCTs).

<table>
<thead>
<tr>
<th>Theory</th>
<th>References by first Author</th>
<th>Year</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Extrinsic factors</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Chronic impingement syndrome</td>
<td>Neer23</td>
<td>1972</td>
</tr>
<tr>
<td>Overuse</td>
<td>Codman22, McMaster26</td>
<td>1931, 1993</td>
</tr>
<tr>
<td>Multifactorial</td>
<td>Soslowsky32</td>
<td>2004</td>
</tr>
<tr>
<td><strong>Intrinsic factors</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Hypoperfusion theory</td>
<td>Uhthoff41</td>
<td>1990</td>
</tr>
<tr>
<td>Degenerative theory</td>
<td>Sano50</td>
<td>1999</td>
</tr>
<tr>
<td>Degeneration-microtrauma</td>
<td>Yadav23</td>
<td>2009</td>
</tr>
<tr>
<td>Apoptotic theory</td>
<td>Yuan54</td>
<td>2002</td>
</tr>
<tr>
<td>Extra cellular matrix modifications</td>
<td>Riley60</td>
<td>2002</td>
</tr>
</tbody>
</table>

spinatus weakness, weakness in external rotation, and impingement, have a 98% chance of rotator cuff tear. If patients were older than 60 years and two clinical tests were positive, the probability to be affected by a RCT was 98%. Any patient with a positive drop-arm sign also has a 98% chance of RCT. If only one of the three tests was positive, the clinical result was indeterminate and imaging was needed. If none of these clinical features were present, the chance of having a tear decreased to 5%. The authors concluded that the predictive power of the combined clinical tests is similar to the best values for magnetic resonance imaging and ultrasonography.

Bilateral rotator cuff tears and reruptures

Often a symptomatic RCT is associated with an asymptomatic tear in the contralateral shoulder13. Yamaguchi et al.14 showed that 35.5% of the patients who presented with a full-thickness tear on the painful side had a full-thickness tear on the non painful, contralateral side. In contrast, if a patient had either a partial thickness tear or a normal RC on the painful side, the rate of a full-thickness tear on the asymptomatic side was low, respectively 4.3% and 0.5%. The authors also affirmed that the size of a tear could be an important factor in the development of symptoms, and that it seems to be a trend toward a correlation between tear size progression and the development of new symptoms. They therefore recommend surveillance at yearly intervals for patients with known RCT that are treated nonoperatively.

Rerupture or structural failure after RC repair is a well-known complication. Many authors have investigated tendon rerupture in an attempt to determine its prevalence and significance, and the high rate of re-rupture should be keep in mind after surgical repair. A rate of retear from 13% to 68% is reported in the literature after open RC repair15,16. Mellado reported a prevalence of rerupture of 57.6% in a MR-based study17. The overall prevalence of rerupture of supraspinatus tendon was 46%. They also found that tear size and degree of preoperative muscle fatty degeneration affect rerupture prevalence. No statistically significant differences were found between arthroscopic and mini-open RC repair, and a study published in 2010 confirmed this result18. Recently Jost and Gerber described the clinical long-term outcomes after structural failure of RC repairs19. They saw that these patients had significant improvement in terms of pain, function, and strength, compared with the preoperative state, and they saw no clinical deterioration over time. They also found that the sizes of the retear did not increase in contrast to the natural history of untreated tears.

The etiopathogenesis of rotator cuff tears

Many theories have been proposed to explain the etiology of RCTs, which have been traditionally classified into ‘extrinsic’ and ‘intrinsic’ (Tab. 1). Historically, in 1922 Meyer suggested that tendon and capsular tears could be secondary to frictional contact of the greater tuberosity on the acromion20. This theory was
in contrast with the one proposed by Lindblom in 1939\textsuperscript{21}, where the injury was thought to be caused by tension in the fascicles of the tendon aponeurosis. Later, Codman underlined the contribution of trauma to tendon injury\textsuperscript{22}, and finally Neer\textsuperscript{23} described his chronic impingement syndrome theory in 1972.

**Extrinsic factors**

The chronic impingement syndrome theory described by Neer is the best-known extrinsic pathological factor in RCTs. He said that the impingement of the RC against the undersurface of the acromion and coracoacromial (CA) ligament was the primary factor in causing tendon tears. In support to his theory, three distinct acromial shapes have been described by Bigliani: type I or flat acromion, type II or curved, and type III or hooked acromion\textsuperscript{24}. The last type would be associated with rotator cuff tears in 70\% of cases. According to this theory, when surgical intervention was indicated, subacromial decompression has been widely performed. Other important extrinsic factors include mechanical overuse\textsuperscript{25}, anterior glenohumeral dislocations and fractures of the great tuberosity. Any process that impairs tissue healing also concur to cuff disease. Galatz demonstrated that nicotine has a deleterious effect on tendon healing\textsuperscript{26}, and smokers are less likely to respond favourably to cuff repair operations, with reduced postoperative function and satisfaction compared to non smokers\textsuperscript{27}. Diabetes is a risk factor for rotator cuff tears\textsuperscript{28}. In a study on asymptomatic subjects Abate et al. found that age-related RC tendon changes are more common in diabetics\textsuperscript{29}. Diabetic patients show a restricted shoulder range of motion, higher incidence in retears after a surgical repair, and higher rate of complications and infections are reported both after open and arthroscopic repair of RCT\textsuperscript{30,31}. An association between obesity and RCTs has also been proposed\textsuperscript{32}. Congenital subacromial stenosis is a rare abnormality of the subacromial arch and may predispose to impingement\textsuperscript{33}.

Currently RCTs are considered to be multifactorial in etiology, and the relative contributions of these factors remain to be determined. Soslowsky, in his study on animal model, observed that the role of extrinsic compression or overuse factors without an additional factor may be insufficient to cause tendinopathy and that an extrinsic compression, like a type III acromion, did not cause injury of the RC tendons until overuse activity was introduced\textsuperscript{34}. More recently the preponderance of evidence strongly suggests that extrinsic acromial compression is not the primary cause of RC pathology. Clinical and cadaveric studies have found that the majority of pathologic changes occur on the articular side of the supraspinatus and infraspinatus tendon insertions, away from the acromion\textsuperscript{35}. This finding was confirmed by Buddoff et al.\textsuperscript{36}. He also supposed that once RC damage and weakness occurs, the tendons are unable to effectively oppose the superior shear stresses imparted by the larger and stronger deltoid muscle. This leads to dynamic superior instability of the humeral head with arm elevation. This inappropriate superior migration of the humeral head causes secondary impingement of the RC against the CA arch. Although subacromial impingement does occur, the authors consider it a secondary process. Moreover, secondary to the RC dysfunction, the CA ligament may experience increased tensile stress and undergo degenerative changes, forming a reactive traction spur at its insertion into the anteromedial corner of the acromion\textsuperscript{37}. This traction spur is often mistaken for an abnormal acromial hook, or type 3 acromion. Actually many authors consider acromial spurs the consequence and not the cause of cuff degeneration and tearing\textsuperscript{38,39}. In addition spur may reform following subacromial decompression, implying that acromial changes are the result of RC pathology\textsuperscript{40}.

**Intrinsic factors**

Recent evidence strongly suggests that most of RCTs is caused by primary intrinsic degeneration. Several anatomic and surgical investigations with histologic sections have been performed to clarify the pathogenesis. A hypovascular zone has been traditionally described at 10-15 mm proximal to the insertion of the supraspinatus tendon\textsuperscript{41}. It remains unclear whether this hypoperfusion contributes to degeneration of the tendon. Goodmurphy et al. demonstrated that no significant difference in the microvasculature at the edge of the tear compared with control specimens, but there was increased vascularity 2.5 to 5 mm away from the tear\textsuperscript{42}. Moseley and Goldie examined capillary distributions in cuff specimens and concluded that no hypovascular areas exist\textsuperscript{43}. Brooks showed that both vessel diameter and number were approximately reduced by a third at 5 mm from the cuff edge compared with 30 mm, but no significantly hypovascular areas exist\textsuperscript{44}. Indeed histologic, immunohistochemical and intraoperative doppler flowmetry analysis reported relative hyperperfusion at the area of the critical zone,\textsuperscript{45} and laser doppler flowmetry studies showed hyperaemic response at the edge of the tear\textsuperscript{46}. These data suggest that area close to tendon tear is not hypovascular, and Goodmurphy affirmed that the avascularity of the critical zone may be an artifact of techniques used during prior cadaveric studies\textsuperscript{42}.

More than seventy years ago Codman proposed degenerative theory for RCTs\textsuperscript{47} understanding the importance of degenerative changes of the RC in the pathogenesis of tendon tears. This theory has been currently confirmed. Histopathologic studies on stumps of torn RCTs and on cadavers revealed thinning and disorientation of collagen fibers, myxoid degeneration, hyaline degeneration, chondroid metaplasia, calcification, vascular proliferation, and fatty infiltr-
The frequency and distribution of these degenerations suggest that they are common changes involved in the early degeneration of RC tendons before tearing occurs. Enthesopathy also occurs in RC tendons degeneration. The normal enthesis is composed of four layers: tendon, unmineralized fibrocartilage, mineralized fibrocartilage, and bone. Between the two fibrocartilaginous layers the blue line or tidemark (the line of provisional calcification) can be recognized, but enthesis lost this spatial orientation in case of tendon degeneration. The insertion of collagen bundles into bone is interrupted by nests of granulation tissue, collagen bundle tears, blue line interruptions, and areas of stippled calcification. A direct correlation between the degree of degeneration and the tensile strength was demonstrated, causing tendon tears. So the degeneration-microtrauma theory was developed. This is a model that supposes age-related tendon damage compounded by chronic microtrauma results in partial tendon tears that then develop into full RCT. Typically after the deep fibers tear, they retract because they remain under tension, even with the arm at rest. This results in an increased load on the remaining fibers that increases the likelihood of further rupture.

Yuan and Murrell were the first authors to recognize apoptosis in rotator cuff tendon disorders. In their study they found an increase of apoptotic cells in degenerative supraspinatus tendon (34%) compared with normal subscapularis tendon (13%). Excessive apoptosis detected in degenerative rotator cuff tissue were confirmed by the DNA laddering assay, and they found that the majority of apoptotic cells were fibroblast-like cells. The role of apoptotic cells in RCT is not clear. The reduced number of functional fibroblasts/fibrocytes may contribute to impaired collagen metabolism culminating in RC degeneration. The increased number of apoptotic cells in degenerative tendon could affect the rate of collagen synthesis and repair. Impaired or dysfunctional protein synthesis may lead to weaker tendon and eventually increase the risk for rupture.

Many studies advocate the importance of extra cellular matrix (ECM) for the homeostasis of connective tissue. ECM is the substrate to which cells adhere, migrate and differentiate. ECM imparts information to cells and tissues by providing cell-binding motifs in its own proteins or by presenting growth factors and morphogens to the cells. Physiologic and pathologic modifications of the ECM seem the most important intrinsic factors involved in tendinopathies and tendons ruptures. Transglutaminase (TGs) have been implicated in the formation of hard tissue development, matrix maturation and mineralization. Nine different TGs have been found in mammalian. TG2, also known as tissue transglutaminase, is widely distributed within many connective tissues, and it has been implicated in organogenesis, tissue repair and in tissue stabilisation. Injured supraspinatus tendons showed reduction of TG2 protein expression, both at mRNA and protein level. TG are important in maintaining the structural integrity of tendons thanks to its mechanical or crosslinking function in normal condition, and the fall of TG2 may mean the exhaustion of the reparative tendon’s capabilities. The turnover of ECM in normal tendon is also mediate by matrix metalloproteinases (MMPs), such as collagenases and stromelysins. They are able to denature collagen type I. The turnover of ECM is mediated by the activity of MMP-1, MMP-2 and MMP-3. After tendon rupture, activity of MMP-1 increase while a reduction of MMP-2 and MMP-3 have been showed. An increase in MMP-1 activity and degradation of the collagen fibril network is a potential cause of the weakening of the tendon matrix and may contribute to a mechanically less stable tendon that is susceptible to rupture. These findings may represent a failure of the normal matrix remodelling process. Riley think that tendon degeneration is an active, cell-mediated process that may result from a failure to regulate specific MMP activities in response to repeated injury or mechanical strain.

Histologic studies of RCTs, as well as in other tendons injury, showed the absence of acute inflammatory cells, but this argument is still debated. Cetti and Matthews are not agree with this assertion, as they found histological evidence of inflammation using immunocytochemical methods respectively in Achilles tendons and RC. In particular Matthews et al. studied cellular and vascular changes in full thickness tears of the RC. They found evidence of chronic inflammatory within the RC tendons and histological changes indicative of repair, as increased fibroblast cellularity and blood vessel proliferation. But they also found that both the number of inflammatory cells and the fibroblast population decrease as the size of the RCTs increase. The authors concluded that RCTs have the potential to heal, but this reparative process diminishes as the tear size increases. May be, they also affirmed, that in earlier studies of ruptured rotator tendon specimens, inflammatory cells were present but had simply not been identified. Animal studies demonstrated that fatty degeneration of the muscle progresses with time after RC tendon detachment. Fatty degeneration of supraspinatus and infraspinatus human tendon is strongly associated with the tear size and location.

Histopathologic and morphological classifications of RCTs

While many epidemiological, etiopathogenetical studies have been performed during the last decade, relatively few studies tried to quantify the histopathological findings of tendon tears. In 2001 Riley et al analyzed 118 supraspinatus tendons from patients with RCTs. The authors proposed a four-point scale based on the organization of the tendon fibre bundles, the aspect of tenocytes nuclei and the grade of hyalinization (Tab. 2). Using this histopathological...
grading system they found that the severity of tendon matrix degeneration increased with age and that more severe degeneration is associated with the development of tendinopathy. Two other scoring systems are present in literature: the Movin score\(^70\) and the Bonar score\(^71\). These two scales were originally developed for Achilles and patellar tendon respectively, but recently they have been modified and validated for RC histopathological assessment (Tab. 3). Lo and Burkhard described a morphological classification of RCTs based on arthroscopic observations\(^72\). The authors classified RCTs into four categories: crescent-shaped, U-shaped, L-shaped, and massive, contracted and immobile tears (Fig. 1). This classification help the surgeon to understand the tear patterns and to repair it.

**Rotator cuff healing**

Tendon healing is a complex and orchestrated series of physiological events involving synthesis, migration, and degradation of extracellular matrix components. Many studies have been performed in order to examine the effects of mobilization and disuse on tendon healing\(^73\). Tendons that are immobilized have been shown to promote adhesion, but exhibit decreased mechanical properties and deter functional recovery\(^74,75\). Mobilization after repair instead promote cellular activity, improved tensile properties and enhanced gliding function. Tendons undergoing controlled passive motion after repair showed superior tensile properties and gliding function when compared to immobilized tendons\(^76\). Deficient scarring may lead to premature rupture at the injury site and immobilization can contribute to healing and scar formation, but excessive scarring can restrict tendon gliding. Therefore, a fine balance exists between the progression of tendon healing and applying mobilization.

Another important controversy is the ability the tendon to heal because tendon tissue shows only a repair but no regeneration\(^28\). Some histological studies showed no cellular or vascular proliferation of the stumps\(^77\) while others animal studies revealed the presence of multinucleated giant cells\(^78\). These cells are involved in resorption but not repair, confirming the idea that the tendons do not contribute to healing. On the other hand some authors stated that RCTs have the potential to heal\(^65\). Studies *in vitro* pointed out the increase of procollagen synthesis without an increase in cell number\(^79\), while immunocytochemical analysis showed that cells retain their ability to produce type I procollagen\(^80\). The authors concluded that tissue adjacent to RCT (2.5 mm) appears to be histologically viable in both microvasculature, as previously described, and cellular synthesis of type I procollagene. They advise to avoid to trimmed the edges of the torn rotator cuff before attachment. Jost and Gerber\(^7\) in their long-term follow-up after structural failure of rotator cuff repairs surprising observed the compelling evidence that small ruptures, smaller that

<table>
<thead>
<tr>
<th>Grade</th>
<th>Normal tendon</th>
<th>Mild degeneration</th>
<th>Moderate degeneration</th>
<th>Severe degeneration</th>
</tr>
</thead>
<tbody>
<tr>
<td>GRADE 1</td>
<td>The fibres bundles are well oriented with a wavy outline. Individual fibers are easily discernible within the bundles.</td>
<td>Collagen fibres are relatively well aligned but the waviness is patchy.</td>
<td>Loss of orientation of the collagen bundles.</td>
<td>Complete loss of orientation of the collagen bundles.</td>
</tr>
<tr>
<td>Tenocyte nuclei</td>
<td>Nuclei are elongated with an unremarkable chromatin pattern. Nuclei lay with their long axis parallel to the bundles of collagen.</td>
<td>Cell nuclei are shorter but still oval. Darker-staining chromatin can be observe. The nuclei often are arranged in short chains to give Indian-file appearance.</td>
<td>Cell nuclei are round or oval shaped and often increase in number. There is a loss of orientation of nuclei in relation to collagen bundles. The chromatin is darkly stained.</td>
<td>The nuclei are loss in number, small, dark and rounded.</td>
</tr>
<tr>
<td>Grade of hyalinization</td>
<td>No hyalinization.</td>
<td>No hyalinization.</td>
<td>Moderate hyalinisation, areas of smudgy, homogeneous eosinophilic staining in haematoxylin/eosin preparation.</td>
<td>Diffuse hyalinization with a homogeneous appearance.</td>
</tr>
</tbody>
</table>

---

**Table 2: Histopathological grading system of rotator cuff degeneration according to Riley.**

GRADE 1

- Normal tendon
  - The fibres bundles are well oriented with a wavy outline. Individual fibers are easily discernible within the bundles.
  - Nuclei are elongated with an unremarkable chromatin pattern. Nuclei lay with their long axis parallel to the bundles of collagen.
  - No hyalinization.

GRADE 2

- Mild degeneration
  - Collagen fibres are relatively well aligned but the waviness is patchy.
  - Cell nuclei are shorter but still oval. Darker-staining chromatin can be observe. The nuclei often are arranged in short chains to give Indian-file appearance.
  - No hyalinization.

GRADE 3

- Moderate degeneration
  - Loss of orientation of the collagen bundles.
  - Cell nuclei are round or oval shaped and often increase in number. There is a loss of orientation of nuclei in relation to collagen bundles. The chromatin is darkly stained.
  - Moderate hyalinisation, areas of smudgy, homogeneous eosinophilic staining in haematoxylin/eosin preparation.

GRADE 4

- Severe degeneration
  - Complete loss of orientation of the collagen bundles.
  - The nuclei are loss in number, small, dark and rounded.
  - Diffuse hyalinization with a homogeneous appearance.
### Table 3: Histopathological classification of RC tendinopathy (Bonar Score).

<table>
<thead>
<tr>
<th>Grade</th>
<th>Tenocytes</th>
<th>Ground Substance</th>
<th>Collagen</th>
<th>Vascularity</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>0</strong></td>
<td>Inconspicuous elongated spindle shaped nuclei with no obvious cytoplasm at light microscopy.</td>
<td>No stainable ground substance.</td>
<td>Collagen arranged in tightly cohesive well demarcated bundles with a smooth dense bright homogeneous polarization pattern with normal crimping.</td>
<td>Inconspicuous blood vessels coursing between bundles.</td>
</tr>
<tr>
<td><strong>1</strong></td>
<td>Increased roundness: nucleus becomes more ovoid to round in shape without conspicuous cytoplasm.</td>
<td>Stainable mucin between fibers but bundles still discrete.</td>
<td>Diminished fiber polarization: separation of individual fibers with maintenance of demarcated bundles.</td>
<td>Occasional cluster of capillaries, less than one per 10 highpower fields.</td>
</tr>
<tr>
<td><strong>2</strong></td>
<td>Increased roundness and size: the nucleus is round, slightly enlarged and a small amount of cytoplasm is visible.</td>
<td>Stainable mucin between fibers with loss of clear demarcation of bundles.</td>
<td>Bundle changes: separation of fibers with loss of demarcation of bundles giving rise to expansion of the tissue overall and clear loss of normal polarization.</td>
<td>1-2 clusters of capillaries per 10 high power fields.</td>
</tr>
<tr>
<td><strong>3</strong></td>
<td>Nucleus is round, large with abundant cytoplasm and lacuna formation (chondroid change).</td>
<td>Abundant mucin throughout with inconspicuous collagen staining.</td>
<td>Marked separation of fibers with complete loss of architecture.</td>
<td>Greater than two clusters per 10 highpower fields.</td>
</tr>
</tbody>
</table>

**Figure 1.** Morphological classifications of rotator cuff tears. A) crescent-shaped. B) U-shaped. C) L-shaped. D) massive tears. Supraspinatus tendon (SS); infraspinatus (IS) tendon; rotator interval (RI); subscapularis tendon (Sub); coracohumeral ligament (CHL).
400 mm², have a potential to heal. It is very important provide the tendon the best conditions to heal. The subacromial bursa seems to play an important role. In normal conditions it has three functions: it facilitates gliding between two layers of tissue, provides blood supply to the cuff tendons and provides cells and vessels to the healing process after surgical repair. The bursa is never affected in its entirety and intraoperative observations clearly showed that the bursal thickening is limited to the site of tear. Codman noted that the outer, more superficial wall of the bursa always remains normal. Actually many authors recommend to preserve the bursa, and bursectomy exclusively in order to improve exposure prior to repairing the torn cuff, as performed by many surgeons, should be avoided. Anterior acromioplasty may also produce negative long-term effects if performed routinely. Some surgeons argue that such a procedure may prevent later cuff tearing. In a prospective study Hyvonen et al. clearly showed that anterior acromioplasty does not prevent later tearing. As a result of anterior acromioplasty we not only remove the anterior part of the acromion but also the coracoacromial ligament and the fibers of the coracoacromial ligament that blend into the coracohumeral ligament, causing superior and inferior shoulder instability than allow the humeral head to migrate superiorly. Another important factor for tendon healing is the enthesis. The changes occurring at the enthesis was investigate in an experiment study on rabbits. Six weeks after surgical repair of rotator cuff the appearance of the fibrocartilage was observed and typical columns of chondrocytes were seen after 12 weeks. During fibrocartilage re-formation the site of repair is vulnerable to tearing and must therefore be protected against high stress. In clinical practice the authors suggest careful rehabilitation for the first 8 weeks, including passive and active assisted exercises, and that strenuous exercises should be avoided.

Several strategies have been proposed to enhance tendon healing. Recently research has focused on regenerative therapies such as Growth Factors (GFs) and Plasma Rich Platelet (PRP). The role of growth factor in the complex process of tendon healing has been clarified in a recent review. In vitro studies showed that the addition of PRP to human tenocytes resulted in cell proliferation, collagen deposition and

| Table 4: Expression of growth factors over 6 months tendon healing. |
|---------------------------------|---------------------------------|---------------------------------|
| Inflammatory Phase              | Formative Phase                 | Remodeling Phase                |
| 1 week                          | 8 weeks                         | 16 weeks                       |
|                                  |                                 | 6 months                       |
| bFGF (2 to 4 weeks†)            | BMP12                           | BMP12                           |
| BMP12                            | CTGF                            | BMP13                           |
| BMP13                            | PDGF-b                          | BMP14                           |
| BMP14                            | PDGF-b                          | bFGF                            |
| COMP                             | COMP                            | COMP                            |
| CTGF                             | PDGF-b                          | PDGF-b                          |
| PDGF-b                           | TGF-b1                          | TGF-b1                          |
| TGF-b1                           | IGF-I (up to 24 weeks†)         | IGF-I (up to 24 weeks†)         |

The healing process of all tendons passes through three well defined stages. Growth factors are expressed during all these phases, promoting cell proliferation, extracellular matrix production and also participating to the final remodeling of the tendon. This figure shows the timing of some Growth factors studied. It is possible that, in the next future, it will be modified after new investigations, but it such timings must be kept in mind, because the addition of a given growth factor too early or too late in the tendon healing process may decrease their effectiveness.

**Abbreviations:** PDGF: platelet-derived growth factor; EGF: Epidermal growth factor; IGF-1: insulin-like growth factor-1; BMP: bone morphogenetic protein; GDF: growth differentiation factor; CTGF: connective tissue growth factor; VEGF: Vascular endothelial growth factor HGF: hepatocyte growth factor mRNA; messenger ribonucleic acid; FGF: Fibroblast growth factor; AFA: Autologous fibrin adhesive; PRP: Platelet rich plasma; TGF-beta: transforming growth factor-beta; BMP: Bone morphogenetic proteins; CDMP: Cartilage-derived morphogenetic proteins; MMP: matrix metalloproteinase; hsp47: Heat shock protein 47; VEGF: vascular endothelial growth factor; Smad: Small Mothers Against Decapentaplegic; bFGF: basic fibroblast growth factor; IGFBP: Insulin-like growth factor binding proteins; PDGF-BB: Platelet-derived growth factor isoform B; ESWT: Extracorporeal shock wave therapy; iNOS: inducible nitric oxide synthase; TIEG: TGF-β inducible early gene; AAV: adeno-associated virus-mediated; PIGF: Placenta growth factor.
improved gene expression for matrix degrading enzymes and endogenous growth factors. They also stimulate tendon healing by a well-ordered angiogenesis. However some authors highlights the importance to understand the timing of administration of growth factors and their dosage for designing effective GF therapy. Few clinical studies have been reported in literature up to date. Castricini et al. performed a randomized double blind controlled trial with 88 patients and they found no statistically significant difference at 16-months follow-up. They stated that the study does not support the use of PRP in small to medium sized RCTs. Similar results have been reported also by Rodeo et al. Even if autologous PRP is safe and there is some evidence that it may improve pain after arthroscopic RCTs repair at short term follow-up, PRP has not been shown to improve healing rates in rotator cuff tears and the data do not support routine use of PRP in RC repair. The use of non-steroidal anti-inflammatory drugs (NSAIDs) is common in clinical practice but their use for the treatment of tendinopathy is debated. It has been shown that NSAIDs inhibit prostaglandin and collagen synthesis during exercises, and that adhesion formation was reduced in healing tendon. In vitro studies also showed that tenocytes proliferation was impaired and MMPs expression was induced by NSAIDs. The results of these studies suggest that NSAIDs therapy might interfere with the natural tendon healing.

Conclusions

RCTs are common and they are frequently asymptomatic. RCTs demonstrated during radiological investigation of the shoulder may not be responsible for the presenting symptoms, so it is important to correlate radiological and clinical findings. Emerging studies have elucidated the complex process of RC degeneration and the attempts to unify intrinsic and extrinsic theories can be made to explain the natural history of RCTs. Some RCTs have the potential to repair, in particular when they are small. A little evidence to support the use of injections with PRP in the treatment of RC rupture can be find in literature, but further studies using a proper control group, randomization, blinding and validated disease-specific outcome measures for pain and function are needed. In conclusion, exciting new findings in basic applied research can guide the shoulder surgeon. Hopefully, research efforts will continue to confirm or disprove the current ways by which we treat rotator cuff disease.

References


Clinical and biological aspects of rotator cuff tears


